

01-07-02

A

01/02/02

JC962 U.S. PTO

FORM PTO-1082

26234.00100
Express Mail Label No. EL845469745US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC879 U.S. PTO

10/038296

01/02/02

re application of:
Adrian KAWA et al.

Serial No: (Not Assigned)

Filed: January 4, 2002

For: INFUSED SAKE AND PROCESS FOR MAKING SAME

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number 28983

Transmitted herewith for filing is the patent application identified above.

☒ 2 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.☒ 13 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.☒ UNSIGNED Declaration and Power of Attorney ☒ is enclosed ☐ will follow.☒ Request And Certification Under 35 U.S.C. 122(b)(2)(B)(i) for non-publication is enclosed.☐ An associate power of attorney ☐ is enclosed ☐ will follow.☒ An assignment of the invention to Kintaro Sake Company, LLC ☐ is enclosed ☒ will follow.☒ Applicant claims small entity status. A letter asserting small entity status ☒ is enclosed ☐ will follow. See 37 CFR 1.27.☐ A certified copy of ___ Patent Application No. ___ filed ___ from which priority is claimed under 35 U.S.C. § 119 is enclosed.☐ IDS enclosed (☐ with ___ reference(s)).☐ Preliminary Amendment is enclosed.☐ Application data sheet is enclosed. See 37 CFR 1.76.☒ Return postcard is enclosed.

CALCULATION OF FEES

ITEM	TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	16	-20	0	LG=\$18 SM=\$9	\$9	0
B INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$84 SM=\$42	\$42	0
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)						0
D MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$280 SMALL ENTITY FEE = \$140	\$ 0
E BASIC FEE					LARGE ENTITY FEE = \$740 SMALL ENTITY FEE = \$370	\$ 370
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)						\$ 370
G ASSIGNMENT RECORDING FEE						\$ 40
*LIST INDEPENDENT CLAIMS 1, 7						\$ 0

☒ Please charge Deposit Account No. 50-1796, referencing docket number 26234.00100, the amount of \$ 370. A copy of this letter is enclosed.☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.☐ A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.☒ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1796, referencing docket number 26234.00100.

A copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 C.F.R. 1.16☒ Any patent application processing fees under 37 C.F.R. 1.17Respectfully submitted,
CROSBY, HEAFEY, ROACH & MAY

By:

Stefan J. Kirchanski
Registration No. 36,568
Attorney for Applicant(s)

Date: January 4, 2002

1901 Avenue of the Stars, Suite 700
Los Angeles, CA 90067
Phone: (310) 734-5200
Fax: (310) 734-5299

15288858.1

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor	Adrian KAWA et al.
Title	INFUSED SAKE AND PROCESS FOR MAKING SAME
Atty Docket Number	26234.00100

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 4, 2002
Date

Stefan J. Kirchanski
Signature

Stefan J. Kirchanski, Reg. No. 36,568

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If application rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CROSBY, HEAFEY, ROACH & MAY

PROFESSIONAL CORPORATION

ATTORNEYS AT LAW

1901 AVENUE OF THE STARS, SUITE 700

LOS ANGELES, CALIFORNIA 90067

(310) 734-5200

FAX (310) 734-5299

www.crosbyheafey.com

LOS ANGELES
(213) 457-8000

OAKLAND
(510) 763-2000

SAN FRANCISCO
(415) 543-8700

SONOMA
(707) 996-1776

WESTLAKE VILLAGE
(818) 735-9955

Stefan J. Kirchanski
Direct Dial: (310) 734-5403
E-mail Address: skirchanski@chrn.com

January 4, 2002

VIA EXPRESS MAIL, LABEL NO. EL845469745US

Box PATENT APPLICATION
Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Re: ASSERTION OF SMALL ENTITY STATUS
New U.S. Patent Application
Inventor(s) : Adrian KAWA et al.
INFUSED SAKE AND PROCESS FOR MAKING SAME
Filed : January 4, 2002
Atty. Docket No. : 26234.00100

Dear Sir or Madam:

The undersigned attorney hereby asserts that the Patent Application entitled "INFUSED SAKE AND PROCESS FOR MAKING SAME" by Adrian KAWA et al. filed on January 4, 2002 is entitled to Small Entity Status. The required inquiry to establish Small Entity (§ 1.27(a)) status has been made.

Very truly yours,



Stefan J. Kirchanski
Of Counsel

SJK:kh

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Adrian KAWA et al.

Serial No: (Not Assigned)

Filed: January 4, 2002

For: INFUSED SAKE AND PROCESS FOR MAKING SAME

Art Unit: (Not Assigned)

Examiner: (Not Assigned)

CERTIFICATE OF MAILING VIA U.S. EXPRESS MAIL
"Express Mail" Mailing Label No. EL845469745US
Date of Deposit: January 4, 2002

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

I hereby certify that

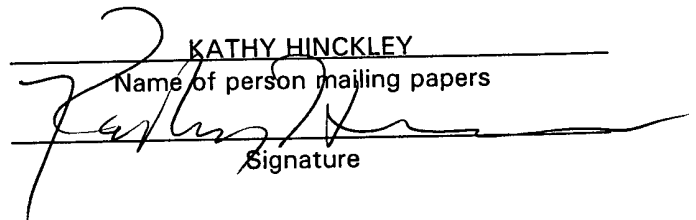
- ☒ two copies of a letter of transmittal
- ☐ check in amount of \$____ as filing fee
- ☒ patent application (13 page(s) of specification; 16 claim(s); 1 page(s) of abstract
- ☒ 2 sheet(s) of formal drawings
- ☒ UNSIGNED Declaration and Power of Attorney
- ☒ Request for Nonpublication
- ☒ Assertion of Small Entity Status
- ☐ assignment of the invention to ____
- ☐ Preliminary Amendment
- ☐ Information Disclosure Statement with ____ references
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION
Commissioner for Patents
Washington, D.C. 20231.

Date: January 4, 2002

CROSBY, HEAFEY, ROACH & MAY
1901 Avenue of the Stars, Suite 700
Los Angeles, CA 90067
Phone: (310) 734-5200
Fax: (310) 734-5299

KATHY HINCKLEY
Name of person mailing papers

Signature